



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Masahiro YAMAMOTO et al.

Title: SHIFT CONTROL SYSTEM AND METHOD FOR V-

BELT TYPE CONTINUOUSLY VARIABLE

**TRANSMISSION** 

Appl. No.: 10/664,031

Filing Date: September 17, 2003

Date Allowed: October 20, 2004

Examiner: Y. Beaulieu

Art Unit: 3661

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on a modified Form PTO/SB/08 is a listing of a document known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, a copy of the listed document is not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

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The submission herewith of any document is not intended as an admission either that such document constitutes competent prior art against the claims of the present application or that such document is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive the right to take appropriate action to antedate any document that does not constitute a statutory bar and that is determined to be a prima facie prior art reference against the claims of the present application, or to otherwise remove such a document as a competent reference.

This Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97. The document is in English. Applicants respectfully request that the Examiner consider the references vis-à-vis the present application claims. Applicants have enclosed a petition fee of \$180.00 in this regard.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted

November 3, 2004

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Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge deposit account No. 19-0741 for any such fees; and applicant hereby petitions for any needed extension of time.

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid of the contain number. Substitute for form 1449B/PTO Complete if Known NOV 0 3 2004 INFORMATION DISCLOSURE **Application Number** 10/664,031 September 17, 2003 STATEMENT BY APPLICANT **Filing Date** Masahiro YAMAMOTO et a **First Named Inventor** Date Submitted: November 3, 2004 & TRADEMAR **Group Art Unit** 3661 (use as many sheets as necessary) **Examiner Name** Y. Beaulieu 1 of Sheet Attorney Docket Number 088473-0144

				U.S. PATENT DOCUMENTS	3		
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	C1	2004/0157700	A1	Katou	08-12-2004		

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	For Office <sup>3</sup>	reign Patent D	ocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>	
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.